

Estimated United States Incidence of Assaults Perpetrated by Agitated Adult Patients With Schizophrenia or Bipolar Disorder on Nurses and Physicians in the General Emergency Department

¹Cheryl S. Hankin, PhD, ¹Amy Bronstone, PhD, ²Leslie Zun, MD

¹BioMedEcon, Moss Beach, CA; ²Department of Emergency Medicine, Chicago Medical School, Mount Sinai Hospital, Chicago, IL

Revised Abstract

Purpose: Psychiatric patients, in particular those diagnosed with schizophrenia or bipolar mania, often arrive at United States (U.S.) emergency departments (EDs) in an agitated state that may escalate to physical assault of health care staff. The true incidence of such assaults is difficult to determine, given staff tendencies toward under-reporting. We sought to estimate U.S. annual incidence of assaults on general ED nurses and physicians that is perpetrated by agitated adult patients with schizophrenia or bipolar disorder, based upon a systematic review of the literature of reported staff assaults and rates of under-reporting in the ED.

Methods: A search of Medline from 1966 to January 2010 and the Internet identified published reports of U.S. rates of agitation, aggression, or violence in EDs. Medical Subject Heading (MeSH) search terms were ("Emergency Services, Hospital" OR "Emergency Medicine" OR "Emergency Nursing") AND ("Psychomotor Agitation" OR "Aggression" OR "Violence" NOT "Domestic Violence"). "True" annual incidence was calculated as the average annualized number of reported physical assault cases divided by the percent of estimated reported actual incidence represented by these cases. Physical assault was defined as being hit, slapped, kicked, pushed, choked, grabbed, sexually assaulted, or otherwise subjected to physical contact intended to injure or harm.

Results: Among 512 publications, we identified 23 relevant articles. Methods of assessment included: staff surveys (16 studies), staff focus groups (1), retrospective analyses of staff administrative reports or police logs (5), and prospective observations (1). On average, 366 ED nurses and 226 physicians reported approximately 3.2 and 1.1 physical assaults, respectively, each year. Based on the average number of physical assaults reported each year, among a total of 31,905 ED nurses and 17,000 ED physicians practicing in the U.S., an estimated 120,796 physical assaults were perpetrated by patients. Approximately 55% of actual assaults upon staff remain unreported. The "true" annual incidence of assaults perpetrated upon ED nurses and physicians by all patients appears to exceed 250,000 [(31,905 * 7.0) + (17,000 * 2.4)]. Based on reports that patients with schizophrenia and bipolar disorder are responsible for 17.1% and 11.4%, respectively, of general ED physical assaults, the "true" incidence of annual assaults upon ED nurses and physicians attributed to these patients is 75,278 [(17.1% + 11.4%) * 264,135]. On average, 1.6 and 3.5 workdays are lost by approximately one-third of ED nurses and physicians, respectively, following physical assault, at an annual cost of nearly \$100 million.

Conclusion: The exact burden of staff physical assault perpetrated by agitated patients with schizophrenia or bipolar disorder remains unknown, due in part to staff tendencies toward under-reporting these events. Such under-reporting is unfortunate, given the substantial consequences of agitation associated with schizophrenia and bipolar disorder borne by staff, patients, caregivers, and health care systems. These consequences include more than 150,000 nursing and physician workdays lost per year, at an estimated annual cost of nearly \$100 million.

Background

- Patients with a primary psychiatric disorder diagnosis account for approximately 4.3 million (3.6%) of the estimated 119.2 million annual U.S. emergency department (ED) visits.¹
- ED patients with psychiatric disorders, particularly schizophrenia and bipolar disorder, often present to U.S. EDs in an agitated state.²
- Clinically significant agitation (e.g., explosive and/or unpredictable anger; intimidating behavior; restlessness, pacing, or excessive movement; physical and/or verbal self-abusiveness; demeaning or hostile verbal behavior; uncooperative or demanding behavior or resistance to care; impulsive or impatient behavior; low tolerance for pain or frustration) may rapidly escalate to physical assault of ED staff.³
- The adverse consequences of patient physical assault on ED staff are varied (e.g., psychological response, physical injury, lost workdays, staff turnover),^{4,5} and there is a dearth of U.S. research that has examined the economic impact of patient assault on ED staff.
- Although various studies have examined the incidence of assaultive behavior among U.S. ED patients and the proportion of ED staff who report having experienced patient assaultive behavior, the "true" incidence of patient assault on ED staff is difficult to determine due to:
 - Staff tendencies to underreport patient aggression and assault; and
 - Variations in study design, time frames for evaluation, and definitions of agitated and assaultive behaviors.

Objectives

- The purpose of this study is to estimate the U.S. annual incidence and costs of assaults perpetrated upon nurses and physicians who work in general EDs by agitated adult patients with schizophrenia or bipolar disorder.

Methods

- An Internet and MEDLINE (1966 through January 2010) search was conducted to identify published studies reporting:
 - Rates of agitation, aggression, or violence occurring in general EDs in the U.S.;
 - The proportion of ED staff who reported experiencing adverse outcomes associated with patient agitation (e.g., physical injury); and
 - Rates of under-reporting of agitation, aggression, or violence occurring in general EDs in the U.S.
- Studies that focused exclusively on psychiatric EDs or employees of psychiatric EDs were excluded.
- For the MEDLINE search, Medical Subject Heading (MeSH) search terms were ("Emergency Services, Hospital" OR "Emergency Medicine" OR "Emergency Nursing") AND ("Psychomotor Agitation" OR "Aggression" OR "Violence" NOT "Domestic Violence").
- Physical assault was defined as being hit, slapped, kicked, pushed, choked, grabbed, sexually assaulted, or otherwise subjected to physical contact intended to injure or harm.
- To estimate the annual incidence of patient assaults on ED nurses and physicians, only studies examining the incidence of assaults over a one-year period were included.
- For studies that reported the number of staff who experienced physical assault by frequency category (e.g., 1-5, 6-10), the median number of assaults within each category and the number of staff were used to calculate the total number of assaults experienced.
- The "true" annual incidence was calculated as the average annual number of assaults reported divided by the estimated "actual" (or "true") number of assault cases believed to have occurred during the same period.
 - For example, if 100 assaults were reported, and these reports were believed to represent only 10% of the "true number" of assaults, then the estimated "true" annual incidence was calculated as 100/10%, or 1,000.

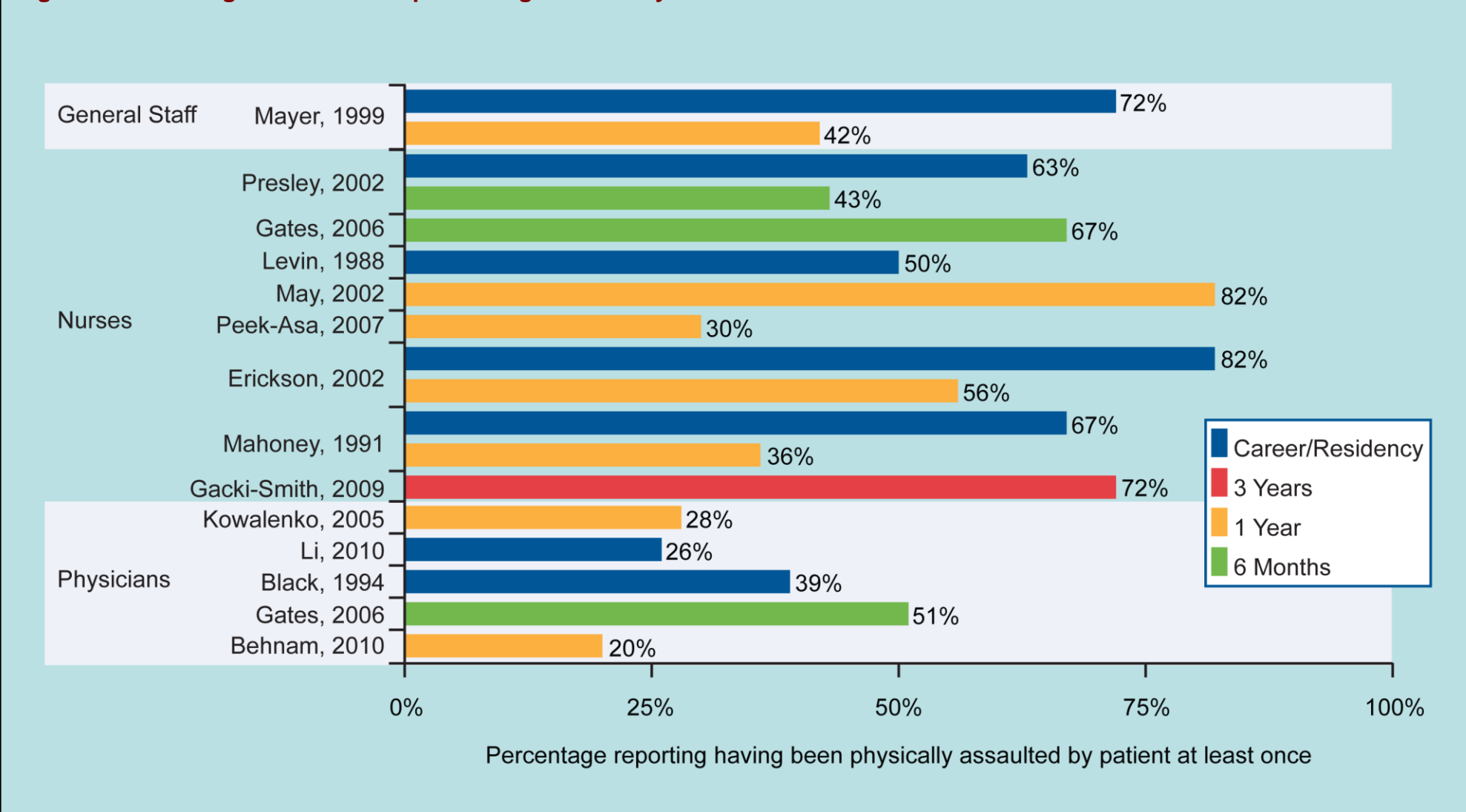
Results

Description of included studies

- A total of 23 studies were identified as relevant to the study objectives. Characteristics of these studies are summarized in Table 1.

| Study | Design | Population | Setting |
|---------------------------------|---------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------|
| Barlow, 1997 ⁶ | Cross-sectional mailed survey | Convenience sample of 475 surgical residents from 57 of 206 residency programs | 57 university-affiliated general EDs |
| Behnam, 2010 ⁷ | Cross-sectional online survey | Convenience sample of 263 ED residents | 65 randomly selected (out of 134) accredited U.S. emergency medicine residency programs |
| Black, 1994 ⁸ | Cross-sectional mailed survey | 42 general psychiatry residents recruited from a convenience sample of 42 | 3 university-affiliated general EDs (2 private, 1 public) |
| Erickson, 2000 ⁹ | Cross-sectional mailed survey | 2 general EDs | Convenience sample of 55 nurses |
| Foust, 1993 ¹⁰ | 9-month, prospective, observational study | 48,938 consecutive ED patients | 1 university-affiliated general ED Level I trauma center with annual census of ~64,000 |
| Gacki-Smith, 2009 ¹¹ | Cross-sectional online survey | 3,465 ED nurses from a convenience sample of 31,905 Emergency Nurses Association members | EDs throughout the 50 states and D.C. |
| Ganzini, 1995 ¹² | 5-year retrospective review of staff incident reports and patient medical records | 57,791 consecutive ED patient visits | 1 Department of Veterans Affairs Medical Center general ED |
| Gates, 2006 ¹³ | Cross-sectional mailed survey | 242 ED employees from a convenience sample of 600 | 5 EDs (4 general, 1 Level I trauma center with separate medical, psychiatric, and air care EDs) |
| Gerberich, 2004 ⁴ | Cross-sectional mailed survey | 4,918 licensed nurses (115 working in EDs) from a random sample of 6,800 | Various general EDs in MN |
| Kasangra, 2008 ¹⁴ | Cross-sectional mailed survey | 3,518 ED staff from a convenience sample of 5,695 | 65 of 69 general EDs in MI |
| Kowalenko, 2005 ¹⁵ | Cross-sectional mailed survey | 177 ED physicians from a random sample of 250 | Various general EDs in MI |
| Lavoie, 1988 ¹⁶ | Cross-sectional mailed survey | 127 ED medical directors from a convenience sample of 170 | University-affiliated general EDs with 240,000 annual patient visits |
| Levin, 1988 ⁸ | Cross-sectional focus group | Convenience sample of 22 ED nurses | 15 general EDs |
| Li, 2010 ¹⁷ | Cross-sectional mailed survey | 196 emergency medicine residents from a convenience sample of 380 | 10 emergency medicine programs |
| Mahoney, 1991 ¹⁸ | Cross-sectional mailed survey | 1,209 ED nurses from a convenience sample of ~2,000 | 124 of 222 general EDs in PA |
| May, 2002 ¹⁹ | Cross-sectional hand-delivered survey | 86 RNs (28 ED RNs) from a convenience sample of 125 | 1 general ED in FL |
| Mayer, 1999 ²⁰ | Cross-sectional mailed survey | 226 ED personnel from a convenience sample of 600 | 18 of 19 general EDs in FL |
| Ordog, 1995 ²¹ | 14-year retrospective review of ED security records | 980,000 consecutive ED patients | 1 urban general ED |
| Pane, 1991 ²² | 1-year retrospective review of university police logs and ED staff incident reports | 693 episodes of disturbance or violence | 1 university-affiliated general ED Level I trauma center with annual census of ~40,000 |
| Peek-Asa, 2002 ²³ | Cross-sectional mailed survey | 95 ED nurse managers from a convenience sample of 180 | 180 general EDs in CA |
| Peek-Asa, 2007 ²⁴ | 10-year retrospective review of various sources of information regarding workplace violence | Convenience sample of 100 ED RNs | 50 general EDs in NJ |
| Presley, 2002 ²⁵ | Cross-sectional mailed survey | Convenience sample of 101 RNs working in general EDs | General EDs in Austin, TX |
| Rankins, 1999 ²⁶ | 28-month retrospective study of security department records | 155,976 consecutive ED patients | 1 urban general ED |

Figure 1. Percentage of ED Staff Experiencing Patient Physical Assault



Results

Commonly cited reasons for ED staff assaults by patients^{9,10,19}

- Patient Factors**
 - Psychiatric disorders
 - Alcohol/drug use
 - Organic brain syndrome/dementia
- Staff Factors**
 - Insufficient staffing
 - Lack of violence prevention training
 - Lack of information regarding patients' violence history

Environmental Factors

- Long wait times
- Use of restraint/seclusion
- Lack of security presence
- Patient areas and triage open to public
- Poor security response/not helpful
- Ability of public to bring weapons into ED
- Lack of metal detectors
- Lack of procedures/policies for handling known violent offenders

Feelings of safety among ED staff

- "How often do you feel safe from violence when working in the ED?"¹³**
 - 6% "Never"
 - 12% "Seldom"
 - 21% "Occasionally"
 - 4% "Often"
 - 7% "Always"
- "Overall, how safe do you feel from patient physical assaults?"¹⁹**
 - 7% "None of the time"
 - 27% "Some of the time"
 - 62% "Most of the time"
 - 4% "All of the time"
- "How often are you fearful of workplace violence?"¹⁵**
 - 1% "Constantly fearful"
 - 9% "Frequently fearful"
 - 82% "Occasionally fearful"
 - 8% "Never fearful"
- "How often have you felt physically safe while working in the ED?"¹⁴**
 - 73% "Most of the time or always"
 - 19% "Sometimes"
 - 8% "Rarely or never"

| Study | % Under-reporting* of Physical Assault |
|------------------------------|----------------------------------------|
| Behnam, 2010 ⁸ | 15% |
| Erickson, 2002 ⁹ | 29% |
| Foust, 1993 ¹⁰ | 79% |
| Gates, 2006 ¹³ | 65% |
| Gerberich, 2004 ⁴ | 60% |
| May, 2002 ¹⁹ | 50% |
| Peek-Asa, 2007 ²³ | 72% |
| Presley, 2002 ²⁵ | 70% |
| Average | 55% |

*Events that were not formally reported in writing were considered unreported.

On average, 55% of physical assaults by patients are not reported.

Table 3. Rates of Annual Physical Assaults on ED Nurses and Physicians by Patients

| Study | Number of General ED Nurses and Physicians | Number of Reported Annual Physical Assaults | ("True") Estimated Annual Incidence of Physical Assaults [Reported Number of Physical Assaults/45%] | Average Annual Incidence of Reported Physical Assaults per ED Nurse or Physician | Average Adjusted ("True") Annual Incidence of Reported Physical Assaults per ED Nurse or Physician |
|-------------------------------|--------------------------------------------|---------------------------------------------|-----------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------|
| Nurses | | | | | |
| Gates, 2006 ¹³ | 95 | 251 | 558 | 1,153/366 = 3.2 | 2,563/366 = 7.0 |
| Mayer, 1999 ²⁰ | 171 | 781 | 1,736 | | |
| Peek-Asa, 2007 ²³ | 100 | 121 | 269 | 245/226 = 1.1 | 545/226 = 2.4 |
| TOTAL | 366 | 1,153 | 2,563 | | |
| Physicians | | | | | |
| Gates, 2006 ¹³ | 49 | 130 | 289 | 245/226 = 1.1 | 545/226 = 2.4 |
| Kowalenko, 2005 ¹⁵ | 177 | 115 | 256 | | |
| TOTAL | 226 | 245 | 545 | | |

*Assumes that 55% of physical assaults are not reported (see Table 2)

- Assuming that there are 31,905 ED nurses²⁷ and 17,000 board-certified ED physicians²⁸ currently practicing in the U.S., the total number of reported annual physical assaults perpetrated by patients on staff in the general ED is 120,796. [(31,905 * 3.2) + (17,000 * 1.1)] = [102,096 assaults on nurses + 18,700 assaults on physicians]
- Given that an estimated 55% of physical assaults are unreported, the "true" total number of annual assaults is estimated to be 264,135. [(31,905 * 7.0) + (17,000 * 2.4)] = [223,335 assaults on nurses + 40,800 assaults on physicians annually]
- Patients with schizophrenia and bipolar disorder are reportedly responsible for 17.1% and 11.4%, respectively, of physically assaultive behaviors in the ED¹² and thus may account for 75,278 [(17.1% + 11.4%) * 264,135] annual physical assaults perpetrated upon nurses and physicians in the general ED (63,650 assaults on nurses and 11,628 assaults on physicians).

Results

- Annual cost of missed work due to patient assault on ED nurses and physicians
- In a 10-year retrospective study of 100 EDs in New Jersey, approximately one-third (33%) of assaulted employees missed at least one full day of work as a result of the incident.²³
- In a one-year retrospective study of 8,552 incidents involving assaults reported by 166 Department of Veterans Affairs Medical Center facilities, 1,085 injured nurses and 46 injured physicians incurred a total of 1,734 and 163 lost workdays, respectively.²⁹
 - Injured nurses lost an average of 1.6 (1,734/1,085) workdays
 - Injured physicians lost an average of 3.5 (163/36) workdays
- As previously noted, assuming a total of 264,135 annual U.S. assaults in the general ED perpetrated by patients upon staff, an estimated
 - 223,335 will be experienced by ED nurses, of which an estimated 73,700 (33%) assaults will result in 117,921 lost workdays (1.6 nursing days per assault)
 - 40,800 will be experienced by ED physicians, of which an estimated 13,464 (33%) assaults will result in 47,124 lost workdays (3.5 physician days per assault)
- Recent hourly pay rates with benefits reported for nurses and doctors are \$43 and \$147,* respectively. The total estimated annual cost of staff workdays lost due to patient physical assault in the general ED is \$96 million.
 - ED Nurses: \$43 hourly rate * 117,921 days * 8 hours/day = \$40.6 million
 - ED Physicians: \$147 hourly rate * 47,124 days * 8 hours/day = \$55.4 million

*Average hourly salary for ED nurse (RN) and physician in the U.S. based on a national median annual salary (base, benefits, and bonus) of \$88,507 and \$304,942, respectively (assumes 40-hour workweek and 52-week work year). Data obtained from Salary.com. Salary Wizard. Accessed May 8, 2010. Available at: [http://swz.salary.com/salarywizard/layousoptions/swz_selectjob.asp?op=salswz_psr&hdSearchByOption=&hdLocationOption=&hdKeyword=nurses+emergency&hdJobCategory=&hdZipCode=&hdStateMetro=&hdNarrowDesc=&rbSearchByOption=&txtKeyword=nurses+emergency&rbLocationOption=&txtIPCode=">](http://swz.salary.com/salarywizard/layousoptions/swz_selectjob.asp?op=salswz_psr&hdSearchByOption=&hdLocationOption=&hdKeyword=nurses+emergency&hdJobCategory=&hdZipCode=&hdStateMetro=&hdNarrowDesc=&rbSearchByOption=&txtKeyword=nurses+emergency&rbLocationOption=&txtIPCode=)

Conclusions

- General EDs in the U.S. are overwhelmed by a growing proportion of visits due to mental illness (a 28% increase from 1992-2001³¹) and a decrease in the number of available psychiatric and substance abuse treatment resources.³²⁻³³
- ED patients with a primary psychiatric diagnosis often present as agitated,² and there are an inadequate number of ED staff with psychiatric expertise.³⁴
- Agitation encompasses a wide range of behaviors that typically occur along a continuum, escalate in a predictive manner and, in the absence of timely and effective intervention, may culminate in the physical assault of staff.³⁵
- Although we identified 23 studies that reported information regarding physical assault of ED staff by patients, only four studies provided sufficient information for estimating the annual incidence of physical assaults experienced by U.S. nurses and physicians in the ED.
- Eight studies noted substantial under-reporting of these incidents. On average, over half (55%) of physical assaults upon staff were not reported.
- Nurses and physicians who provide care in general EDs will likely treat greater numbers of patients with mental disorders in the future, as community mental health resources continue to dwindle. ED staff commonly lack specialized training and effective means to treat patients with schizophrenia or bipolar disorder who present with agitation, thereby increasing the likelihood for staff physical assault by agitated patients. The exact burden of staff physical assault perpetrated by agitated patients with schizophrenia or bipolar disorder remains unknown, due in some part to staff tendencies toward under-reporting these events.
- Such under-reporting is unfortunate, given that the consequences of agitation associated with schizophrenia and bipolar disorder borne by staff, patients, caregivers, and health care systems are substantial. These consequences include more than 150,000 nursing and physician workdays lost per year, at an estimated annual cost of \$100 million. As fewer community mental health resources become available, patients with schizophrenia and bipolar disorder will increasingly seek care in the general ED. Because these patients often present with agitation that may quickly escalate to physical assault, and because agitation is associated with considerable staff, patient, caregiver, and health care systems burden, effective and timely treatment is imperative.

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Disclosure

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